

Under the Paperwork Reduction Act of 1994, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2003\_1185

First Named Inventor : Koji ONO et al.

Title: POLISHING APPARATUS

Express Mail Label No.:

03/15 U.S. PTO  
10/644766

08/21/03

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Small Entity Status is hereby asserted.
3. ☒ Specification [Total Pages: 31]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Sequence Listing, a table, or a computer program listing appendix.
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 12]
5. ☒ Oath or Declaration [Total Pages : 3]
  - a.1. ☐ Newly executed (original or copy)
  - a.2. ☐ Unexecuted
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet (see 37 CFR 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ The paper and computer readable copies are identical

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
  - ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other Claim of Priority under 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/669,883

Prior Application Information: Examiner Maurina T. Rachuba

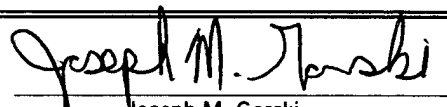
Group Art Unit 3723

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

CUSTOMER NO.  
000513

By:

  
Joseph M. Gorski  
Registration No. 46,500

WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone:(202) 721-8200  
Fax:(202) 721-8250

August 21, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Attn: APPLICATION BRANCH  
Koji ONO et al. : Attorney Docket No. 2003\_1185  
Serial No. NEW :  
Filed August 21, 2003 :  
  
POLISHING APPARATUS  
(Rule 1.53(b) Divisional  
of Serial No. 09/669,883,  
Filed September 27, 2000)

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$852.00 to cover Patent Office fees relating to filing the following attached papers:


Rule 53(b) application . . . . . \$750.00  
  
Additional Claims Fee:  
Excess of Twenty . . . . . \$18.00  
Independent . . . . . \$84.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Koji ONO et al.

By   
Joseph M. Gorski  
Registration No. 46,500  
Attorney for Applicants

JMG/edg  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
August 21, 2003

[Check No. 57243]  
2003\_1185

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Attn: APPLICATION BRANCH**

Koji ONO et al. : Attorney Docket No. 2003\_1185

Serial No. NEW :

Filed August 21, 2003 :

**POLISHING APPARATUS  
(Rule 1.53(b) Divisional  
of Serial No. 09/669,883,  
Filed September 27, 2000)**

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

---

**CLAIM OF PRIORITY UNDER 35 USC 119**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

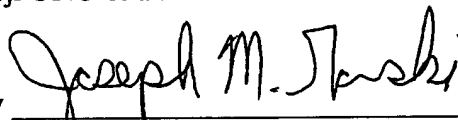
Sir:

Applicants in the above-entitled application hereby claim the date of priority under the International Convention of Japanese Patent Application No. 11-274259, filed September 28, 1999, as acknowledged in the Declaration of this application.

A certified copy of said Japanese Patent Application is of record in parent application Serial No. 09/669,883, filed September 27, 2000.

Respectfully submitted,

Koji ONO et al.

By 

Joseph M. Gorski  
Registration No. 46,500  
Attorney for Applicants

JMG/edg  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
August 21, 2003